

Date 03/04/2020

<u>CIRCULAR</u>

<u>NSE</u>

Applicability of Short Term Additional Surveillance Measure (ST-ASM) Applicability of Additional Surveillance Measure (ASM) List of Securities moving to Stage I of Graded Surveillance Measure (GSM) Settlement schedule for May 2020 - Physical Settlement in Equity Derivatives CM - Settlement calendar for May 2020

<u>BSE</u>

Execution of Orders Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages Clarifications received from the companies Weekly Submission of Holding Statement Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended April 03, 2020 Framework for Determination of Final Settlement Price (FSP) in case of Unavailability of Polled Spot

<u>Framework for Determination of Final Settlement Price (FSP) in case of Unavailability of Polled Spot</u> <u>Price on Expiry Day</u>

<u>MCX</u>

Event Based Additional Surveillance Margin (E-ASM)-Cardamom Due Date Rate Commencement of Gold New LTP Based Spread Contracts Commencement of Futures Trading in Gold Mini July 2020 Contract and LTP Based Spread Trading Facility in Gold Mini Futures Contracts Application for Surrender of Membership User IDs Charges

NCDEX

Application for Surrender of membership 03042020.pdf Implementation Section 51A UAPA 1967 01042020.pdf

ICEX

<u>event-based-additional-surveillance-margin-e-asm</u> <u>commencement-of-futures-trading-in-five-new-contracts.</u>

NSDL (date 01/04/2020)

2020-0005-Policy-DDP- SEBI Circular dated March 30, 2020 2020-0005-Policy-DDP-Temporary relaxation in processing of documents per.... 2020-0041-Policy-Change in Pay-in & Pay-out time for NSE, BSE and MSE se....

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